

219.40420X00

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant(s):** Anil AGGARWAL et al.

**Serial No.:** 09/965,295

**Filed:** September 28, 2001

**For:** A MECHANISM FOR ALLOWING MULTIPLE ENTITIES ON THE  
SAME HOST TO HANDLE MESSAGES OF SAME SERVICE CLASS  
IN A CLUSTER

**Group:** Not Yet Assigned

**Examiner:** Not Yet Assigned **December 11, 2001**

**Attention:** Application Processing Division  
Special Processing and Correspondence Branch

**SUBMISSION OF DECLARATION AND ASSIGNMENT**

Assistant Commissioner  
for Patents  
Washington, D.C. 20231

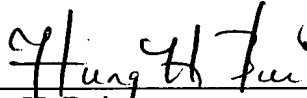
Sir:

The Notice to File Missing Parts of Nonprovisional Application--Filing Date Granted dated October 25, 2001, in connection with the above-identified application is acknowledged. A copy of the Notice is attached hereto.

Attached hereto is a declaration in compliance with 37 CFR §1.63 and a credit card payment form including the amount of \$170.00 in payment of the surcharge set forth in 37 CFR §1.16(e) as required in the Notice, and also for the submission of the Recordation Cover Sheet for submission of the Assignment document.

Please charge any shortage in fees due in connection with the filing of this paper to the deposit account of Antonelli, Terry, Stout & Kraus, LLP, Deposit Account No. 01-2135 (Referencing case number 219.40420X00), and please credit any excess fees thereto.

Respectfully submitted,



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Hung H. Bui

Registration No. 40,415

ANTONELLI, TERRY, STOUT & KRAUS, LLP

HHB:srm  
(703) 312-6600



U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**Patent fees are subject to annual revision.**

C mpl te If Known	
Application Number	09/965,295
Filing Date	September 28, 2001
First Named Inventor	AGGARWAL, ANIL
Examiner Name	Not yet assigned
Group Art Unit	Not yet assigned
Attorney Docket No.	219.40420X00

<b>TOTAL AMOUNT OF PAYMENT</b>	<b>(\$)</b> 170.00
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**FEE CALCULATION (continued)**

2. ☒ **Payment Enclosed:**  
☐ Check    ☒ Credit Card    ☐ Money Order    ☐ Other

### 3. ADDITIONAL FEES

Fee Code	Large Entity Fee (\$)	Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge – late filing fee or oath	
127	50	227	25	Surcharge – late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examination action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive – unavoidable	
141	1,280	241	640	Petition to revive – unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40
146	740	246	370	For each additional invention to be examined (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify) <u>Notice to file Missing Parts of Application</u>					130

Other fee (specify) <u>Notice to file Missing Parts of Application</u>	130
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\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$)** 40


**SUBTOTAL (1)**

## 1 EXTRA CLAIM FEES

		Extra Claims	Fee from below	Fee Paid
Total Claims	-20**	=	x	=
Indep. Claims	-3**	=	x	=
Multiple Dependent				=

Large Fee Code	Fee (\$)	Entity Fee Code	Small Fee (\$)	Entity Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent
				<b>SUBTOTAL (2) \$</b>

**\*\*or number previously paid, if greater. For Reissues, see above.**

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Hung H. Bui	Registration No. (Attorney/Agent)	40,415	Telephone	703-312-6600
Signature				Date	12/11/2001

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038

**Burden Hour Statement:** This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/965,295	09/28/2001	Anil Aggarwal	219.40420X00

CONFIRMATION NO. 3918

020457  
ANTONELLI TERRY STOUT AND KRAUS  
SUITE 1800  
1300 NORTH SEVENTEENTH STREET  
ARLINGTON, VA 22209

## FORMALITIES LETTER



\*OC000000006971561\*

Date Mailed: 10/25/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/13/2001 EABUBAK1 00000074 09965295

01 FC:105

130.00 OP